

# Co<sub>3</sub>O<sub>4</sub> surface studies and adsorption of Li<sub>2</sub>O<sub>2</sub> nanocluster

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**Abstract.** Lithium-air batteries are one of the most promising energy storages, characterized by their high energy density and substantial specific capacity. However, their performance is affected by high charging over potential and poor cycling stability. The density functional theory (DFT) is employed to investigate Co<sub>3</sub>O<sub>4</sub> as a catalyst for Li-air batteries in a quest to mitigate their limitations. The surface energies indicated that Co-terminated (001) surface was the most stable with a surface energy of 0.120 eV/Å. The nanocluster favours to bridge across the cobalt A and B which gave the highest adsorption energy of  $E_{\text{ads}} = -4.147$  eV with the transfer charge of 0.027 e<sup>-</sup>.

## 1 Introduction

Recently, electric energy demand and depleting raw materials which is increasing day by day calls for alternative, clean energy storage equipment. A number of alternative energy sources were previously explored, which include solar [1], wind [2], rechargeable batteries etc. Rechargeable batteries (mostly the lithium-based) are a promising alternative energy source due to their ability to convert chemical potential energy into electrical energy and can operate over several cycles. However, the theoretical capacity and energy density of commercial lithium-ion batteries are limited [3]. Many research studies are focused on metal-air batteries due to their ability to compensate for the poor specific capacity and low energy density of rechargeable Li-ion batteries [4]. Metal air battery's exceptional specific capacity (3842 mAh/g for Li vs. 815 mAh/g for Zn) makes it a desirable anode material for any battery [5]. However, the practical uses of lithium-air batteries are severely limited by the sluggish kinetics, high over-potential, and poor cycling stability of the oxygen evolution reaction (OER) and oxygen reduction reaction (ORR) [6-7].

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Hence, we will be using  $\text{Co}_3\text{O}_4$  as a catalyst to improve the performance of the lithium air battery. Cobalt oxide was used as a catalyst because the spinel  $\text{Co}_3\text{O}_4$  with mixed oxidation states of  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$  is a promising catalyst among all applicable metal oxides due to its ability of lowering the OER over-potential and enhance a  $\text{Li}-\text{O}_2$  battery's cyclic performance [8]. Additionally, Cui *et al* [9] demonstrated exceptional durability by employing  $\text{Co}_3\text{O}_4$  as a catalyst and Ni-foam as a support to achieve a 4000 mAh/g capacity at a current density of 0.02 mA/cm<sub>2</sub>.

## 2 Materials and methodology

This study employed the density functional theory (DFT) calculations with Vienna Ab-initio Simulation Package (VASP) [10] where all calculations were performed within the generalized gradient approximation (GGA) using Perdew, Burke, and Ernzerhof (PBE) exchange-correlation functional [11] and all calculations were performed using spin polarized. Additionally, the calculations employed Grimme's semi-empirical technique with Becke-Johnson damping [D3-(BJ)] [12] to model the long-range dispersion interactions, which are required to precisely characterize the surfaces [13]. The Hubbard adjustment in the formulation of Dudarev *et al.* [14] was utilized to improve the description of the localized  $3d$  Mn and Co electrons to address the poor description of  $d$ -orbitals in the GGA functional which was set  $U = 3.0$  eV. To determine the number of plane-waves required, we have run energy convergence tests and found that 600 eV and 500 eV is sufficient to properly describe  $\text{Li}_2\text{O}_2$  and  $\text{Co}_3\text{O}_4$  bulk structures, respectively. The geometry optimizations were performed using the conjugate gradient technique with an ionic convergence criterion of 0.01 eV/Å. The reciprocal space was sampled by a  $5 \times 5 \times 5$  and  $6 \times 6 \times 3$  mesh of  $k$ -points  $\text{Co}_3\text{O}_4$  and  $\text{Li}_2\text{O}_2$ , whilst the surface slabs were set at  $5 \times 5 \times 1$ .

## 3 Results and discussion

### 3.1 Structural properties

The crystal structure of  $\text{Li}_2\text{O}_2$  has a hexagonal structure with space group  $P6_3/mmc$  where, Li atoms at  $2a$  and  $2c$  sites while O atoms are situated at  $4f$  sites. The  $\text{Co}_3\text{O}_4$  crystal structure has a cubic structure with space group of  $Fd\bar{3}m$ . The calculations in Table 1 are based on the relaxed cell parameters of  $\text{Li}_2\text{O}_2$  nanocluster and  $\text{Co}_3\text{O}_4$  bulk structure. For  $\text{Li}_2\text{O}_2$ , the calculated unit cell parameters are  $a = b = 3.164$  and  $c = 7.724$  Å, the results align closely with the experimental values of  $a = b = 3.183$  Å and  $c = 7.677$  Å [15]. The calculated Li-O bond length was found to be 1.995 Å, this is comparable with the experimental value of 1.910 Å, while the O-O bond distance was determined to be 1.605 Å, reasonably near to the experimental value of 1.580 Å [16].

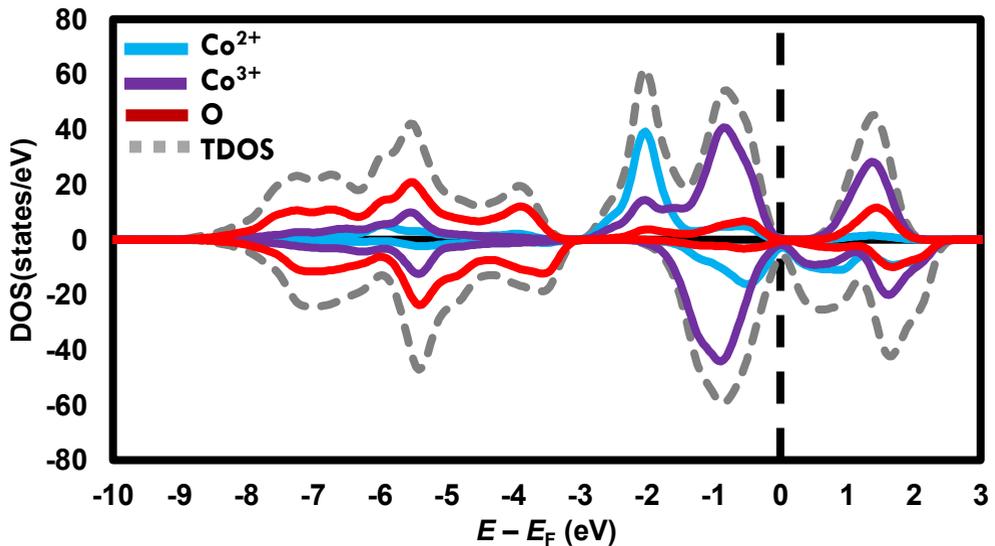
The cell parameters of the bulk structures are maintained constant during the calculations, using the relaxed cell parameters of the  $\text{Co}_3\text{O}_4$  bulk as a reference:  $a = 8.017$  Å, this aligns closely with the experimental values of  $a = 8.082$  Å [17]. The calculated distance between atoms was found to be 1.925 Å between  $\text{Co}^{2+}$ -O which agrees with the experimental value 1.923 Å while the distance between  $\text{Co}^{3+}$ -O was found to be 1.879 Å which is in line with the experimental value of 1.928 Å [17].

**Table 1.** Structural properties of  $\text{Li}_2\text{O}_2$  nanocluster and  $\text{Co}_3\text{O}_4$  structure.

Structure	Properties	This work	Experimental
$\text{Co}_3\text{O}_4$	$a = b = c$ (Å)	8.017	8.082 [17]
	$V$ (Å <sup>3</sup> )	515.270	-
	$d$ ( $\text{Co}^{2+}$ -O) (Å)	1.925	1.923 [17]
	$d$ ( $\text{Co}^{3+}$ -O) (Å)	1.879	1.928 [17]
$\text{Li}_2\text{O}_2$	$a=b$ (Å)	3.164	3.183 [15]
	$c$ (Å)	7.724	7.677 [15]
	$V$ (Å <sup>3</sup> )	77.324	-
	$d$ (Li-O) (Å)	1.995	1.910 [16]
	$d$ (O-O) (Å)	1.605	1.580 [16]

### 3.2 Electronic properties

In this section we focus on the electronic properties of the bulk structures. The figure 1 below shows the density of states of  $\text{Co}_3\text{O}_4$  structure. It was observed that there is a dominance of O from -8 to -3.5 eV in both the lower and higher bound in the valence band. There is also a dominance of  $\text{Co}^{2+}$  in the upper bound from -3 to -1.5 eV while the lower bound is dominated  $\text{Co}^{3+}$  from -2.2 to 0 eV. From -1.15 to 0 eV in the higher bound there is a dominance of  $\text{Co}^{3+}$ . The up-spin band gap was found to be 1.05 eV which agrees with the reported value of 1.4 eV [18] indicating that the material is half-metallic, which means that only one spin channel (spin-down) is metallic and contributes to conduction, while the other (spin-up) is semiconducting with a bandgap. Therefore, the electrons at the Fermi level are fully spin-polarized, leading to conduction that is entirely dominated by one spin orientation. In the conduction band there is a dominance of  $\text{Co}^{3+}$  in the upper bound from 1.1 to 2.5 eV and the lower bound is also dominated by  $\text{Co}^{3+}$  from 0 to 2.5 eV.



**Fig. 1.** Density of states of  $\text{Co}_3\text{O}_4$  structure.

The density of states for  $\text{Li}_2\text{O}_2$  nanocluster are shown below in figure 2. The DOS shows the dominance of O from -7 to -3.2 eV in both the upper and lower bound. There is another O dominance in the valence band between 2.2 and 0 eV. The band gap of 1.71 eV agreed to the reported value of 1.96 eV [16] which suggests that the material is a semiconductor. In the conduction band we have the dominance of O in both the upper and the lower bound from 1.8 to 4 eV.

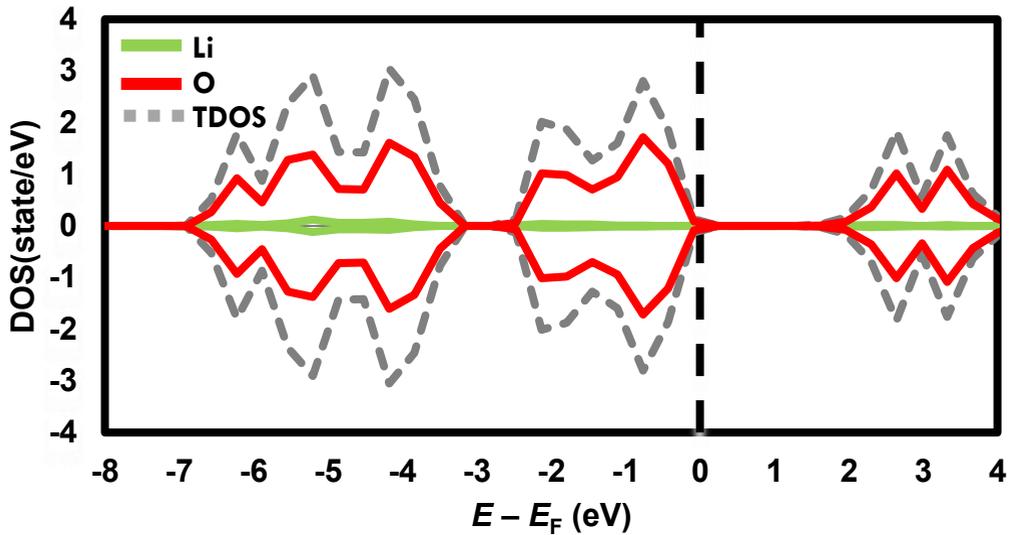
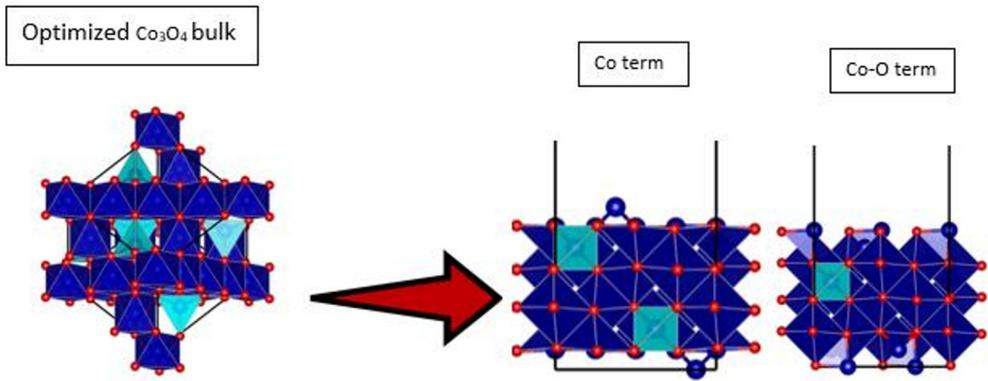


Fig. 2. Density of states of  $\text{Li}_2\text{O}_2$  structure.

### 3.3 Surface energies

The surface terminations acquired from the fully relaxed spinel bulk structure were analysed by assessing the surface energy of both the unrelaxed and relaxed slabs, with the results presented in Table 2. Our analysis indicates that the Co-terminated  $\text{Co}_3\text{O}_4$  surface featuring a (001) termination, with a surface energy of  $\gamma_r = 0.120 \text{ eV}/\text{\AA}^2$ , is the most stable configuration since it was found to be lowest calculated surface energy. This minimum surface energy aligns with findings reported in existing literature [20].



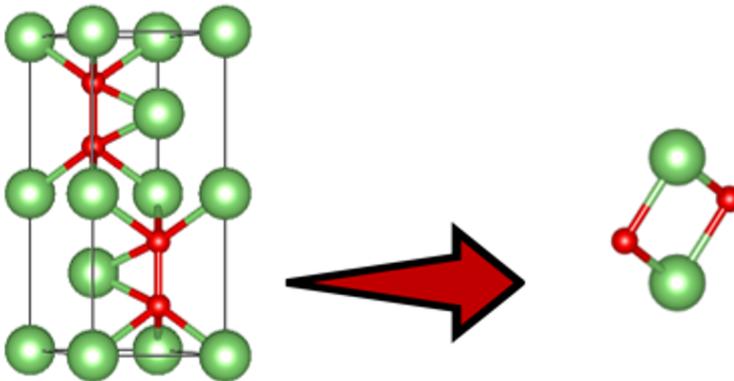
**Fig. 3.** Co termination and Co-O termination surfaces modelled from optimized  $\text{Co}_3\text{O}_4$  bulk.

**Table 2.** Calculated surface energies for unrelaxed ( $\gamma_u$ ) and relaxed ( $\gamma_r$ ) surface terminations of the (001).

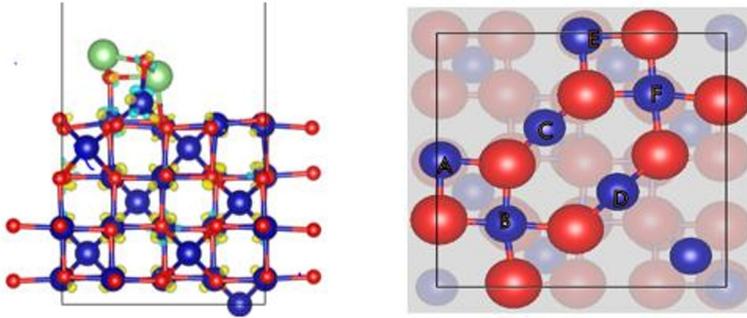
Surfaces	Terminations	$\gamma_u$ ( $\text{eV}/\text{\AA}^2$ )	$\gamma_r$ ( $\text{eV}/\text{\AA}^2$ )	R (%)
(001)	-Co-	0.496	0.120	75.8
	-Co-O-	0.670	0.532	20.6

### 3.4 $\text{Li}_2\text{O}_2$ nanocluster adsorption on $\text{Co}_3\text{O}_4$ surface.

The interactions between the spinel surface and the lithium oxide nanoclusters are covered here. Initially, we investigated the favoured adsorption geometries for distinct  $\text{Li}_2\text{O}_2$  molecule orientations and diverse binding sites on the surface of  $\text{Co}_3\text{O}_4$  (001). A nanocluster was modelled to adsorb on the surface of  $\text{Co}_3\text{O}_4$  (001) using VASP code [21].



**Fig. 4.** A 2.0  $\text{\AA}$  nanocluster modelled from  $\text{Li}_2\text{O}_2$  bulk using VASP [21] code.



**Fig. 5.** Nanocluster adsorbing on different sites of the  $\text{CO}_3\text{O}_4$  surface.

The adsorption of nanoclusters bridging to the surface, interacting through the oxygen was investigated at different atoms sites from A to D as shown in table 3. Figure 5 clearly shows that the relaxed molecule bonds effectively with the surface, as indicated by the strong adsorption energy, specifically at site C with the adsorption of  $-4.147$  eV. The limited charge transfer ( $0.027 e^-$ ) could result from the induced covalent bond formed through electron sharing. The work function of  $\phi = 4.747$  eV at site C suggest that our material is slightly more reactive than when the nanocluster adsorb on sit B which has the highest work function of  $5.061$  eV which is less reactive. The highest charge transfer was observed at adsorption site B with the value of  $-0.255 e^-$ . The surface free energies were also explored, it was generally observed that after adsorption there is an increase in the calculated  $\sigma$  compared to the pure structure, indicating that the process demands an input of energy.

**Table 3:** Adsorption energy of nanoclusters on the surface of  $\text{Co}_3\text{O}_4$  (001) and Bader charges.

Adsorption site	$E_{\text{ads}}$ (eV)	$\sigma$ (eV/Å <sup>2</sup> )	$\phi$ (eV)	$\Delta q$ ( $e^-$ )
A	-2.883	0.075	4.341	0.048
B	-2.863	0.075	5.061	-0.255
C	-4.147	0.055	4.747	0.027
D	-4.062	0.057	4.803	0.094

## 4 Conclusion

The DFT approach was successfully utilized to investigate the use of  $\text{Co}_3\text{O}_4$  as a catalyst for  $\text{Li}_2\text{O}_2$  batteries. The lattice parameters agreed well with the experimental values to be within 5%. The PDOS showed that  $\text{Co}_3\text{O}_4$  is half-metallic with a bandgap of  $1.05$  eV, while  $\text{Li}_2\text{O}_2$  had a bandgap of  $1.71$  eV. The Co-terminated (001) surface was found to be the most stable surface. The  $\text{Li}_2\text{O}_2$  nanocluster preferred bridging between Co A and B with  $E_{\text{ads}} = -4.147$

eV. The calculated work function was found to be  $\Phi = 4.747$  which implies that the structure is slightly more reactive than when the nanocluster adsorbs on site B which has the highest work function of 5.061 eV which is less reactive.

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The data supporting the findings of this study can be obtained from the corresponding author upon reasonable request.

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